



CONFIDENCE IN CONTROL




ICE: Your Governance, Risk and Compliance Platform

Cut the cost of SOX and other regulatory compliance with ICE, the integrated Governance, Risk and Compliance solution that makes it easy to achieve and maintain regulatory compliance.

- Reduce risk
- Improve governance
- Enhance your control environment

MODULAR CONTROL

ICE improves business integrity by helping your organisation achieve and demonstrate good governance and regulatory compliance, whilst minimising risk. ICE comes in three modules to suit your organisation's needs:

-  **ICE DOCUMENT** is a comprehensive, integrated and flexible documentation repository for internal control and compliance frameworks.
-  **ICE PERFORM** is your risk management safety net, designed to ensure that every control or task in place is being performed correctly. Information and evidence about these tasks are captured so that intelligence can be used by management and audit.
-  **ICE AUDIT** is a highly effective way to formally assess and record the effectiveness of your control and compliance environment, saving time and money by enabling external auditors to rely more on work done by internal audit.

Start to gain control with confidence using the ICE DOCUMENT module, or combine it with ICE PERFORM and ICE AUDIT for a fully-integrated GRC system.

WHO IS IT FOR?

ICE is ideal for any organisation operating in a regulated industry that needs to achieve and demonstrate compliance and best practice in internal control.



Banking and Finance organisations must be able to demonstrate effective control to help build customer trust and create real business value. ICE delivers a control framework that enables regulatory compliance without onerous manual processes.



Media and Telecoms providers need to achieve, maintain and prove compliance with stringent financial and industry regulations – particularly in the complex quad-play market. ICE gives visibility over the entire control environment so service providers can document, view and manage processes across the enterprise.



Retail – among the greatest threats to any large, dispersed retailer are technology failure, fraud or loss of data quality. ICE delivers a proven solution that gives retailers an integrated control environment right across the organisation.

"ICE is a flexible system that can be tailored to a variety of compliance streams, not just SOX. We have found it to be very effective at changing behaviour and pushing control ownership out to the business. Having all our documents and evidence in one place now means that testing can be done much more efficiently."

Ashima Banks-Smith, SOX & Compliance Senior Manager, Virgin Media

ICE KEY FEATURES

	ICE DOCUMENT	ICE PERFORM	ICE AUDIT
Process Documentation & Reporting	✓		
Risk Documentation & Reporting	✓		
Control Documentation & Reporting	✓		
Accounting Policy, IT Systems & Glossary Documentation	✓		
End User Application (EUC) and Information Provided by Entity (IPE) Documentation	✓		
Publication of Control Environment Packs	✓		
Control Diary & Task Manager		✓	
Control Performance Evidence Capture		✓	
Issue Logging		✓	
Automated Monitoring & Escalation		✓	
Control Performance Reporting		✓	
Audit Planning & Scheduling			✓
Progress & Results Documentation			✓
Deficiency Tracking & Remediation			✓
Audit Monitoring & Reporting			✓
Workflows, Reminders & Alerts	✓	✓	✓
Version Management & Audit Trails	✓	✓	✓
Reporting Module	✓	✓	✓
User & System Administration	✓	✓	✓
Training	✓	✓	✓
In-Person Support	✓	✓	✓

"ICE has significantly improved the effectiveness and transparency of the control environment within the Investment Bank. It is now a vital tool embedded into the working practices across the back-office"

Ian Smith, UK CFO & General Manager, Commerzbank London

COST-EFFECTIVE COMPLIANCE

ICE Integrated Control Environment is a modular GRC solution that significantly reduces the cost and complexity of internal control and compliance. This is achieved by integrating all aspects of the control and compliance environment into one platform: design, documentation, task management, monitoring, testing, auditing and issue management.

- **FUNCTIONALITY** – Integrated functionality across the control environment
- **CONTROL** – Take control of the compliance process
- **TRANSPARENCY** – Clear view of the entire control environment

A TOTAL GRC SOLUTION

ICE gives you the power to achieve best practice in the design, documentation, monitoring, auditing and reporting of your control and compliance frameworks. It delivers effective Governance, Risk and Control by helping you manage and monitor the entire control and compliance environment.

ICE reduces the cost and complexity of compliance with regulations such as SOX, FCA and the Corporate Governance Code, and minimises the risk of control failures and non-compliance incidents.

- Delivers cost-effective compliance with key regulatory requirements
- Reduces internal and external audit costs
- Saves time and money on monitoring, issue management, audits and review
- Drives positive changes in behaviour and helps embed best practice
- Improves consistency across the control and compliance frameworks
- Improves transparency and visibility
- Achieves synergies across all control and compliance requirements
- Provides a transparent and standardised approach to internal control and compliance
- Offers the option of additional customised functionality to meet your needs.



**CONTACT US TODAY FOR YOUR FREE DEMO TO DISCOVER
HOW ICE WILL IMPROVE YOUR CONTROL ENVIRONMENT**

Email: info@ice-control.co.uk Tel: +44 (0) 203 368 3868

www.ice-control.co.uk